

A simulation framework for benchmarking EEG-based brain connectivity estimation methodologies

Supplementary Material

Stefan Haufe · Arne Ewald

Received: date / Accepted: date

S. Haufe

Laboratory for Intelligent Imaging and Neural Computing, Columbia University, New York, NY, 10027, USA
Machine Learning Department, Technische Universität Berlin, 10587 Berlin, Germany
E-mail: stefan.haufe@tu-berlin.de

A. Ewald

Department of Neurophysiology and Pathophysiology, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany
E-mail: mail@aewald.net

A Data provided

Data will be provided under <http://bbci.de/supplementary/EEGconnectivity/>. The struct `sa` in `data/sa.mat` contains the New York Head (see Huang et al., 2016, Neuroimage, In Press.) model including all data required to perform forward calculations and plotting. It contains the following fields.

sa	
<code>.cortex75K</code>	Structure containing a high-resolution (75K nodes) triangular mesh of the cortical surface (gray matter/CSF interface).
<code>.vc</code>	MNI coordinates of mesh vertices.
<code>.vc_smooth</code>	Vertex coordinates of a spatially smoothed mesh with identical structures.
<code>.tri</code>	Indices of nodes forming the triangles (faces) of the mesh.
<code>.tri_left</code>	(<code>.tri_right</code>) Face indices for left and right hemispheres separately.
<code>.normals</code>	Normal vector for each vertex.
<code>.curvature</code>	Curvature for each vertex.
<code>.sulcimap</code>	Binary mask indicating for each vertex whether it is located in a sulcus.
<code>.EEG_V_fem</code>	EEG lead fields for 108 electrodes computing using a highly accurate finite element model. Source dipoles are located at the 75K cortical mesh nodes along the three spatial dimension.
<code>.EEG_V_fem_normal</code>	EEG lead fields assuming dipole orientations perpendicular to the cortical surface (the product of <code>.V_cortex75K.EEG_V_fem</code> and <code>.cortex75K.normals</code>).
<code>.roi_mask</code>	A numeric mask that indicates the corresponding octant (ROI) for each voxel.
<code>.roi_mask_str</code>	A text mask that indicates the corresponding octant (ROI) for each voxel.
<code>.cortex2K</code>	Low-resolution (2K nodes) triangular mesh of the cortical surface. Useful for efficient forward and inverse modeling.
<code>.in_from_cortex75K</code>	Vertex indices relative to the high-resolution mesh <code>cortex75K</code> , of which this mesh is a strict subset. MNI coordinates of mesh vertices of <code>cortex2K</code> are given by <code>cortex75K.vc(cortex2K.in_from_cortex75K,:)</code> and <code>cortex75K.vc_smooth(cortex2K.in_from_cortex75K,:)</code> .
<code>.tri</code>	(<code>.in_L</code> , ..., <code>.in_L_inner</code> , ..., <code>.in_LPS</code> , ..., <code>.in_LPS_inner</code>) Analogous to <code>cortex75K</code> , but indexing <code>cortex2K.in_from_cortex75K</code> . For example, MNI coordinates for mesh nodes located in the left hemisphere are obtained as <code>cortex75K.vc(cortex2K.in_from_cortex75K(cortex2K.in_L),:)</code> .
<code>.in_to_cortex75K_eucl</code>	Index vector used to interpolate functions defined on the 2K cortical mesh (such as inverse solutions) on the 75K mesh for plotting purposes. If <code>S</code> is a function defined on the 2K mesh, then <code>S(cortex2K.in_to_cortex75K_eucl)</code> is the function projected onto the 75K mesh. Here, interpolation is based on the Euclidean distance.
<code>.in_to_cortex75K_geod</code>	Interpolation indices based on the geodesic distance along the 75K mesh, using that the 2K mesh is a subset of the 75K mesh. To be used in the same way as <code>.in_to_cortex75K_eucl</code> .
<code>.roi_mask</code>	A numeric mask that indicates the corresponding octant (ROI) for each voxel.
<code>.roi_mask_str</code>	A text mask that indicates the corresponding octant (ROI) for each voxel.

sa (cntd.)	
.head	Triangulated head surface.
.vc	(.tri, .normals, .curvature) Analogous to cortex75K.
.mri	Structure containing the ICBM152 v2009b anatomical MRI data.
.data	3D MR image with enhanced brain to background contrast.
.brainmask	Binary brain mask.
.EEG_clab_electrodes	Cell array of EEG electrode labels.
.EEG_locs_2D	2D projection of the EEG electrodes to the x, y -plane. Useful for plotting 2D scalp maps.
.EEG_locs_3D	MNI coordinates of EEG electrodes, and normal vectors relative to the scalp surface.
.EEG_elec2head	Matrix to project functions defined per EEG electrode to the head surface. Useful to create 3D scalp potential maps.
.mni2mri	Affine transformation matrix to convert MNI coordinates into index vectors for the MR image <code>mri.data</code> . Consists of a scaling and a translation.
.mri2mni	Affine transformation to convert MRI coordinates into MNI space.
.naspalparori	MNI coordinates of Nasion (NAS), left and right pre-auricular points (PAL/PAR), and the origin (ORI) of the coordinate system defined by NAS, PAL and PAR.
.acpciwhori	MNI coordinates of Anterior and Posterior Commissure (AC/PC), inter-hemispheric point (IH), and the origin of the coordinate system defined by AC, PC, and IH.

The file `data/miscdata.mat` contains the following additional variables.

<code>me</code>	MNI coordinates of the origin used to define hemispheres and octants based on median splits.
<code>directions</code>	List of the names of the six hemispheres: 'left', 'right', 'posterior', 'anterior', 'superior', 'inferior'.
<code>inds_dir_outer_75K</code>	Cell array containing the indices <code>cortex75K.in.L</code> , ..., <code>cortex75K.in.I</code> of the mesh vertices belonging to each of the six hemispheres in the order given by <code>directions</code> .
<code>inds_dir_inner_75K</code>	Analogous list for the indices <code>cortex75K.in.L_inner</code> , ..., <code>cortex75K.in.I_inner</code> .
<code>rois</code>	List of the names of the eight brain octants: 'LPS', 'LPI', 'LAS', 'LAI', 'RPS', 'RPI', 'RAS', 'RAI'.
<code>inds_roi_outer_75K</code>	Cell array containing the indices <code>cortex75K.in.LPS</code> , ..., <code>cortex75K.in.RAI</code> of the mesh vertices belonging to each of the eight octants in the order given by <code>rois</code> .
<code>inds_roi_inner_75K</code>	Analogous list for the indices <code>cortex75K.in.LPS_inner</code> , ..., <code>cortex75K.in.RAI_inner</code> .
<code>inds_dir_outer_2K</code>	(<code>inds_dir_inner_2K</code> , ..., <code>inds_roi_outer_2K</code> , ..., <code>inds_roi_inner_2K</code>) Analogous lists of the index vectors of the low-resolution mesh <code>cortex2K</code> .

In addition, 100 pre-computed instances of the proposed benchmark are provided in the directory `data`. Each instance is contained in a subfolder `EEG/dataset_i`, $i = 1, \dots, 100$. Each folder contains a file `data.mat` that was generated using `generate_datasets_ar.m` (see below). The corresponding ground truth `truth.mat`, as well as the random number generator seed are not disclosed.

B Code provided

The main folder contains the following core functions.

```
[sources_int, sources_nonint] = generate_sources_ar(fs, len, bandpass)
```

Generates interacting and non-interacting bivariate time-series according to a 5th order linear autoregressive model.

fs	Sampling rate in Hz.
len	Length of the simulated recording in seconds.
bandpass	Limits of the bandpass to be applied, in Hz. If empty broadband signals are returned.
sources_int	$2 \times fs \cdot len$ bivariate times series with uni-directional information flow from first to second variable.
sources_nonint	$2 \times fs \cdot len$ bivariate time series with the first and second variable statistically independent.

```
generate_datasets_ar(ndatasets, dataset_string)
```

Generates **ndatasets** datasets composed of baseline and data pseudo-measurements, and saves them to a directory structure in **data/dataset_string**. For each instance $i = 1, \dots, ndatasets$ of the experiment, a subfolder **EEG/dataset_i** is created. Each subfolder contains data of that instance as **data.mat**. In a separate folder **truth/dataset_i**, the ground truth is stored as **truth.mat**. **data.mat** contains the variables **EEG.data**, **EEG.baseline_data** and **fs**, where **EEG.data** contains the $108 \times fs \cdot len$ pseudo-EEG data and **EEG.baseline_data** contains the baseline pseudo-EEG data of the same dimensionality, and **fs = 100** is the sampling rate. **truth.mat** contains a structure **truth** aggregating information about the true underlying source locations and interaction structure of the generated data. The seed **sd** of the Matlab random number generator before generating the data is stored in **data/dataset_string/sd.mat**.

ndatasets	Number of datasets to be generated.
dataset_string	Name of the directory to store the generated data in.

```
res = evaluate_performance(truth, est)
```

Compares the ground truth w. r. t. source locations, connectivity presence and interaction direction with an estimate, and calculates the performance measures LOC, CONN and DIR.

truth	Data structure containing the ground truth, as saved by generate_datasets_ar .
est	Data structure containing the estimates regarding source octants and their interaction. est.rois is a cell array containing the estimated source octants in arbitrary order, e.g., est.rois = {'LAI' 'LPI'} . If no guess is made, the field is supposed to be empty, i.e. est.rois = [] . est.interaction is the estimation, if eeg_data is believed to contain interacting sources (est.interaction = 1), or not (est.interaction = 0). est.interaction = [] indicates that no guess is made. The third variable, est.sender indicates the octant containing the sending source, e.g. est.sender = 2 if est.rois{2} is believed to contain the sending source, or est.sender = [] if no guess is made. est.sender is only evaluated if est.interaction_dataset is provided.
res	Results structure containing the fields .loc , .conn and .dir .

```
EEG_estimate_lcmv_imcoh_psi(ndatasets, dataset_string)
```

Analyzes given EEG datasets using LCMV beamforming, the ImCoh and PSI, and calculates the performance measures LOC, CONN and DIR using the ground truth and the function **evaluate_performance**.

ndatasets	Number of datasets to be analyzed.
dataset_string	String specifying the folder from which the data are loaded, the same as in generate_datasets_ar .

```
run_benchmark
```

Example script generating 100 datasets with **generate_datasets_ar** and analyzing it with **EEG_estimate_lcmv_imcoh_psi**.

The **tools** folder contains utilities for data generation, analysis using LCMV beamforming, the ImCoh and PSI, and plotting. To add them to the path, call **set_path**.